## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ROBERT V. GRUBER

Group Art Unit: 3618

Examiner: J. Shriver

Serial No.:

Continuation of 09/839,018

Filed April 20, 2001

Filed:

Herewith

For:

TRAY AND DOLLY ASSEMBLY

Attorney Docket No.: RPC 0529 PUSA

## <u>UNDER 37 C.F.R. § 1.97(b)(1) and 1.97(d)</u>

Commissioner for Patents United States Patent and Trademark Office PO Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the attached Forms PTO/SB08A are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with Applicant's duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98(d), copies of the references listed as Cite Nos. A through X are NOT enclosed because they were previously made of record in priority application Serial No.09/839,018. Entry into the record of these references is respectfully requested.

Respectfully submitted,

**ROBERT V. GRUBER** 

Date: July 17, 2003

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CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that these papers and the documents referred to as attached therein are being deposited on the below date with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee", as Express Mail Label No. EJ 206 387 760 US, addressed to: Commissioner for Patents, United States Patent & Trademark Office, PO Box 1450, Alexandria, VA 22313-1450.

July 17, 2003 Date of Deposit

Konstantine J. Diamond
Name of Person Signing

Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO  INICODIM A THONI DICCL OCCUPANT				Complete if Known		
				Application Number	continuation of 09/839,018	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	April 20, 2001	
			FLICANI	First Named Inventor	Robert V. Gruber	
	,			Group Art Unit	3618	
(use as many sheets as necessary)			essary)	Examiner Name	J. Shriver	
Sheet	1	of	1	Attorney Docket Number	RPC 0529 PUSA	

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Examiner		Date	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.